SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

k											
1. Name and Addre <u>RUBIN ROB</u>	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Essential Utilities, Inc.</u> [WTRG]	(Check	tionship of Reporting Pe all applicable) Director Officer (give title	erson(s) to Issuer 10% Owner Other (specify					
(Last) 762 W LANCA	(First) (Middle) CASTER AVE.		3. Date of Earliest Transaction (Month/Day/Year) 02/27/2021	X	below) Chief Accountin	below)					
(Street) BRYN MAWR (City)	PA (State)	19010 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Fili Form filed by One Rej Form filed by More tha Person	porting Person					
	Table L. Non-Derivative Securities Acquired Disposed of ar Beneficially Owned										

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	02/27/2021		A		2,660 ⁽¹⁾	Α	\$ <mark>0</mark>	76,071.77	D	
Common Stock	02/27/2021		F		1,698 ⁽²⁾	D	\$42.81	74,373.77	D	
Common Stock 401k								18,190.37	Ι	401k

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	5. Number 6. Date Exercisable and of Expiration Date Derivative (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents acquisition of shares upon the earning and vesting of performance-based share units awarded on 02/27/2018. Vesting determination was made by the Compensation Committee on 02/10/2021. Represents vesting at 175.00%.

2. Disposition to issuer for tax obligations upon the vesting of restricted stock units and performance-based share units.

<u>/s/ Brian Dingerdissen,</u> <u>attorney-in-fact for Mr. Rubin</u>

** Signature of Reporting Person Date

03/02/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subje to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).