SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre		rson [*]		uer Name and Ticke ential Utilities		0	,		(Chec	ationship of Reporti k all applicable) Director Officer (give title	10% C	
(Last) 762 W LANCA	(First) STER AVE.	(Middle)		te of Earliest Transa 1/2021	action (N	/onth/	'Day/Year)		below) below Chief Accounting Office)	
(Street)			4. If A	mendment, Date of	Origina	l Filec	d (Month/Day/ץ	(ear)	6. Indi Line)	vidual or Joint/Grou	p Filing (Check	Applicable
BRYN MAWR	PA	19010							X	Form filed by On	e Reporting Per	son
(City)	(State)	(Zip)								Form filed by Mo Person	re than One Re	porting
	Ta	ble I - Non	-Derivative S	ecurities Acq	uired,	Dis	posed of, o	or Ben	eficially	/ Owned		
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (I 8)	Instr.	5)		r. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

							Reported			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	06/21/2021		S		2,200 ⁽¹⁾	D	\$47	71,824.77	D	
Common Stock 401k ⁽²⁾								18,298.76	I	401k
							e · u			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(0/1	,	,		,	• •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	or oosed D) tr. 3, 4		Expiration Date			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Disposition is part of a previously set up transaction from a 10b5-1 plan.

2. Includes share acquired under the Company's 401k plan since the last filing.

/s/ Brian Dingerdissen, attorney-in-fact for Mr. Rubin

06/22/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.