## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

#### 3235-0287 OMB Number: Estimated average burden

1. Name and Address of Reporting Person*   2. Issuer Name and Ticker or Trading Symbol   5. Relationship of Reporting Person(s) to Issuer     SMELTZER DAVID   AQUA AMERICA INC [WTR]   Director   10% Owner     (Last)   (First)   (Middle)   3. Date of Earliest Transaction (Month/Day/Year)   Director   10% Owner     762 W LANCASTER AVE.   3. Date of Earliest Transaction (Month/Day/Year)   Executive VP & CFO     (Street)   BRYN MAWR PA   19010   4. If Amendment, Date of Original Filed (Month/Day/Year)   6. Individual or Joint/Group Filing (Check Applicable)     (City)   (State)   (Zip)   (Zip)   Form filed by One Reporting Person			Table I - Non-D	Derivative Securities Acquired, Disposed of, or Ber	neficially	Owned		
AQUA AMERICA INC [ WTR ]     SMELTZER DAVID     (Last)   (First)     (Middle)     762 W LANCASTER AVE.     (Street)     BRYN MAWR PA   19010	(City)	(State)	(Zip)	—		,	an One Reporting	
AQUA AMERICA INC [ WTR ]     SMELTZER DAVID     (Last)   (First)     (Middle)     762 W LANCASTER AVE.     4. If Amendment, Date of Original Filed (Month/Day/Year)     6. Individual or Joint/Group Filing (Check Applicable)	BRYN MAWR	PA	19010		X	,		
AQUA AMERICA INC [wTR] Director 10% Owner   SMELTZER DAVID 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner   02/27/2018 Executive VP & CFO	(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group Fili	ng (Check Applicable	
AQUA AMERICA INC [ WTR ]   (Check all applicable)     SMELTZER DAVID   3. Date of Earliest Transaction (Month/Day/Year)   (Check all applicable)     Junction   000 (Check all applicable)   000 (Check all applicable)     Junction   10% Owner     X   000 (Check all applicable)     Junction   000 (Check all applicable)     Junction   10% Owner     X   000 (Check all applicable)     Junction   000 (Check all applicable) <tr< td=""><td>. ,</td><td>· · /</td><td>(</td><td>02/27/2018</td><td></td><td colspan="3">Executive VP &amp; CFO</td></tr<>	. ,	· · /	(	02/27/2018		Executive VP & CFO		
AQUA AMERICA INC [WTR] (Check all applicable)	(Last)	(First)	(Middle)		<b>x</b>			
		1 0	Person*			all applicable)		

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following		7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/27/2018		A		3,586 <sup>(1)</sup>	A	\$ <mark>0</mark>	81,273.2	D	
Common Stock 401k								<b>29,763</b> .11 <sup>(2)</sup>	Ι	401k

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Options (Right to Buy)	\$34.51	02/27/2018		A		9,893 <sup>(3)</sup>		02/27/2019	02/27/2028	Common Stock	9,893	\$34.51	9,893	D	

Explanation of Responses:

1. Represents award of restricted stock units. Each restricted stock unit represents a contingent right to receive one share of Common Stock. The restricted stock units vest on February 27, 2021.

2. Includes shares acquired under the Company's 401k plan since the last filing.

3. Grant of 9,893 shares of stock options which vest one-third each year on the anniversary of the grant.

/s/ Brian Dingerdissen, attorney-in-fact for Mr. Smeltzer

03/01/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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