SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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| OMB Number: | 3235-0287 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per respor | nse: 0.5 | | | | | | | |

| to Section 16. | Form 4 or Form 5 | | | | | | | | - 011 | | Es | timated average bur | den |
|---|------------------------|--------------|---|--|--|-----------|-------|------------|--|---|---|---|----------|
| biligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) or Section 30(h) of the Ir | | | | | | | | | ho | hours per response: | | | |
| 1. Name and Address of Reporting Person* SCHULLER DANIEL | | | | 2. Iss | uer Name and Tick ential Utilities | er or Tr | ading | Symbol | | k all applicable) Director | 10% Owner | | |
| (Last) 762 W. LANC | (First) CASTER AVEN | (Middle) | | | te of Earliest Transa 5/2020 | action (I | Month | /Day/Year) | | Officer (give ti below) Executive | tle Other below e Vice President | / | |
| (Street) BRYN MAWF | R PA | 19010 | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Form filed by | dual or Joint/Group Filing (Check Ap Form filed by One Reporting Perso Form filed by More than One Repo | |
| (City) | (State) | (Zip) | | | | | | | | | Person | | |
| | | Table I - No | n-Deriva | tive S | ecurities Acq | uired, | , Dis | posed of, | or Ber | neficially | y Owned | | |
| 1. Title of Security (Instr. 3) 2. Transac Date (Month/Da | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Followin Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock 03/16/2 | | | | 020 | | A | | 2,988 | A | \$33.47 | 33,923 | D | |
| Common Stock 401k | | | | | | | | | | 907.0828(1) | I | 401k | |
| | | Table II - | | | curities Acqui IIIs, warrants, | | | | | | Owned | | |

| | | | | | | | | - | | | | - | | | |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | | | 6. Date Exerc Expiration Da (Month/Day/Y | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Includes shares acquired under the Company's 401k plan since the last filing.

/s/ Brian Dingerdissen, attorney-in-fact for Mr.

Schuller

03/18/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.